

Gp#1645
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No.: A01020B

RECEIVED

In re Application of: Palli et al.

JUL 6 9 2002

Serial No. 09/965,703

Group Art Unit: 1645 TECH CENTER 1600/200

Filed: September 26, 2001

:Examiner: Unassigned

For: NOVEL ECDYSONE RECEPTOR-BASED
INDUCIBLE GENE EXPRESSION SYSTEM

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Applicant(s)' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed form PTO-1449 "List of Art Cited by Applicant". Inclusion of a document in this Information Disclosure Statement and/or its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above-identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of Request for Continued Examination under § 1.114, no additional fee is required.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed in the attached form PTO-1449 is included herewith.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

Camille Jolly-Tornetta

Camille Jolly-Tornetta, Ph.D.

Agent for Applicants, Reg. No. 48,592

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399
Date: June 26, 2002



Sheet 1 of 1

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

| | |
|--------------------------------|-----------------------|
| Atty Docket No. A01020B | Serial No. 09/965,703 |
| Applicant Palli et al. | |
| Filing Date September 26, 2001 | Group 1645 |

U.S. Patent Documents

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | Class/Subclass | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|------------|---------------|----------------|----------------------------|
| AA | US6265173 | 07/24/2001 | Evans et al. | | |
| AB | US5880333 | 03/09/1999 | Goff et al. | | |
| AC | US5283173 | 02/01/1994 | Fields et al. | | |
| AD | US6300488 | 10/09/2001 | Gage et al. | | |
| AE | | | | | |
| AF | | | | | |
| AG | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | Class/Subclass | Translation Yes/No |
|----|-----------------|------------|---------|----------------|--------------------|
| AH | WO9958155 | 11/18/1999 | PCT | | |
| AI | WO9902683 | 01/21/1999 | PCT | | |
| AJ | WO9738117 | 10/16/1997 | PCT | | |
| AK | | | | | |
| AL | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)

| | | |
|---|----|---|
| | AM | Riddiford et al., 2000, Vitam Horn., 60:1-73 |
| - | AN | Christopherson et al., 1992, PNAS, 89:6314-6318 |
| - | AO | Suhr et al., 1996, PNAS, 95:7999-8004 |
| - | AP | Yao, et al., 1993, Nature, 366:476-479 |
| - | AR | Yao, et al., 1992, Cell, 71:63-72 |
| | AS | No et al., 1996, PNAS, 93:3346-3351 |

| | |
|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



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CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Information Disclosure Statement (2 pgs.)

Form 1449 (1 pg.)

Copies of 13 Cited References

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Jennifer Gidley